

# Crypto and e-Voting: Homomorphisms, Zero- Knowledge Proofs

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# Back to basics: Diffie-Hellman & Elgamal Crypto

# Modular arithmetic 101

We're working in  $\mathbb{Z}_p^*$ , the integers in  $[1, p)$

$$2 + 3 = 5 \pmod{7}$$

$$2 + 4 = 6 \pmod{7}$$

$$2 + 5 = 0 \pmod{7} \leftarrow \textbf{Forbidden!}$$

$$2 * 3 = 6 \pmod{7}$$

$$2 * 4 = 1 \pmod{7}$$

$$6 * 6 = 1 \pmod{7}$$

Note:  $\mathbb{Z}_p^*$  is closed under multiplication but not addition.

# Modular arithmetic 101

In  $\mathbb{Z}_p^*$ , we want to find *generators* such that

$$g^1, g^2, \dots, g^{p-1}$$

cover all the elements in the group.

**Example, for  $p=7$ :**

$g=2$  is not a generator, but  $g=3$  is.

# Discrete logarithms

**Back to the regular integers, say I give you a very big number  $q = 5^{8437591243259543}$**

**and ask you to take  $\log_5 q$**

**Logarithms, over integers, are tractable. But what about in  $\mathbb{Z}_p^*$ ?**

**No known efficient solution to DLog problem.**

# Diffie-Hellman (1976)

- Alice : random  $a \in \mathbb{Z}_p^*$
- Bob : random  $b \in \mathbb{Z}_p^*$
- Public : generator  $g \in \mathbb{Z}_p^*$
- $A \rightarrow B$  :  $g^a$
- $B \rightarrow A$  :  $g^b$
- Alice : computes  $(g^b)^a = g^{ab}$
- Bob : computes  $(g^a)^b = g^{ab}$
- Eve : knows  $g^a, g^b$ , cannot compute  $g^{ab}$

# Elgamal encryption (1984)

Non-deterministic cryptosystem (different  $r$  every time)

$$\begin{aligned} E(g^a, r, M) &= \langle g^r, (g^a)^r M \rangle \\ D(g^r, g^{ar}M, a) &= \frac{g^{ar}M}{(g^r)^a} \\ &= M \end{aligned}$$

$g$	group generator
$M$	plaintext (message)
$r$	random (chosen at encryption time)
$a$	(private) decryption key
$g^a$	(public) encryption key

# Homomorphic property

Anybody can combine two ciphertexts to get a new one.

$$\begin{aligned} E(\textcolor{blue}{M}_1) \oplus E(\textcolor{red}{M}_2) &= \langle g^{\textcolor{blue}{r}_1}, (g^a)^{\textcolor{blue}{r}_1} M_1 \rangle \oplus \langle g^{\textcolor{red}{r}_2}, (g^a)^{\textcolor{red}{r}_2} M_2 \rangle \\ &= \langle g^{\textcolor{blue}{r}_1} g^{\textcolor{red}{r}_2}, (g^a)^{\textcolor{blue}{r}_1} M_1 (g^a)^{\textcolor{red}{r}_2} M_2 \rangle \\ &= g^{\textcolor{blue}{r}_1 + \textcolor{red}{r}_2}, g^{a(\textcolor{blue}{r}_1 + \textcolor{red}{r}_2)} \textcolor{blue}{M}_1 \textcolor{red}{M}_2 \\ &= E(\textcolor{blue}{M}_1 \textcolor{red}{M}_2) \end{aligned}$$

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# Homomorphic vote tallying

Change messages to counters, additive in exponent of  $g$ .  
“Exponential Elgamal”

$$\begin{aligned} E(v_1) \oplus E(v_2) &= \langle g^{r_1}, (g^a)^{r_1} g^{v_1} \rangle \oplus \langle g^{r_2}, (g^a)^{r_2} g^{v_2} \rangle \\ &= \langle g^{r_1+r_2}, g^{a(r_1+r_2)} g^{v_1+v_2} \rangle \\ &= E(v_1 + v_2) \end{aligned}$$

$g$	group generator
$v$	plaintext (counters)
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# Violation of encryption semantics?

If I know  $M_1$  and  $M_2$  and  $E(M_1) \oplus E(M_2) = E(M_1M_2)$   
then I can find other messages where  
I know their encryption!

# Solution: Padding

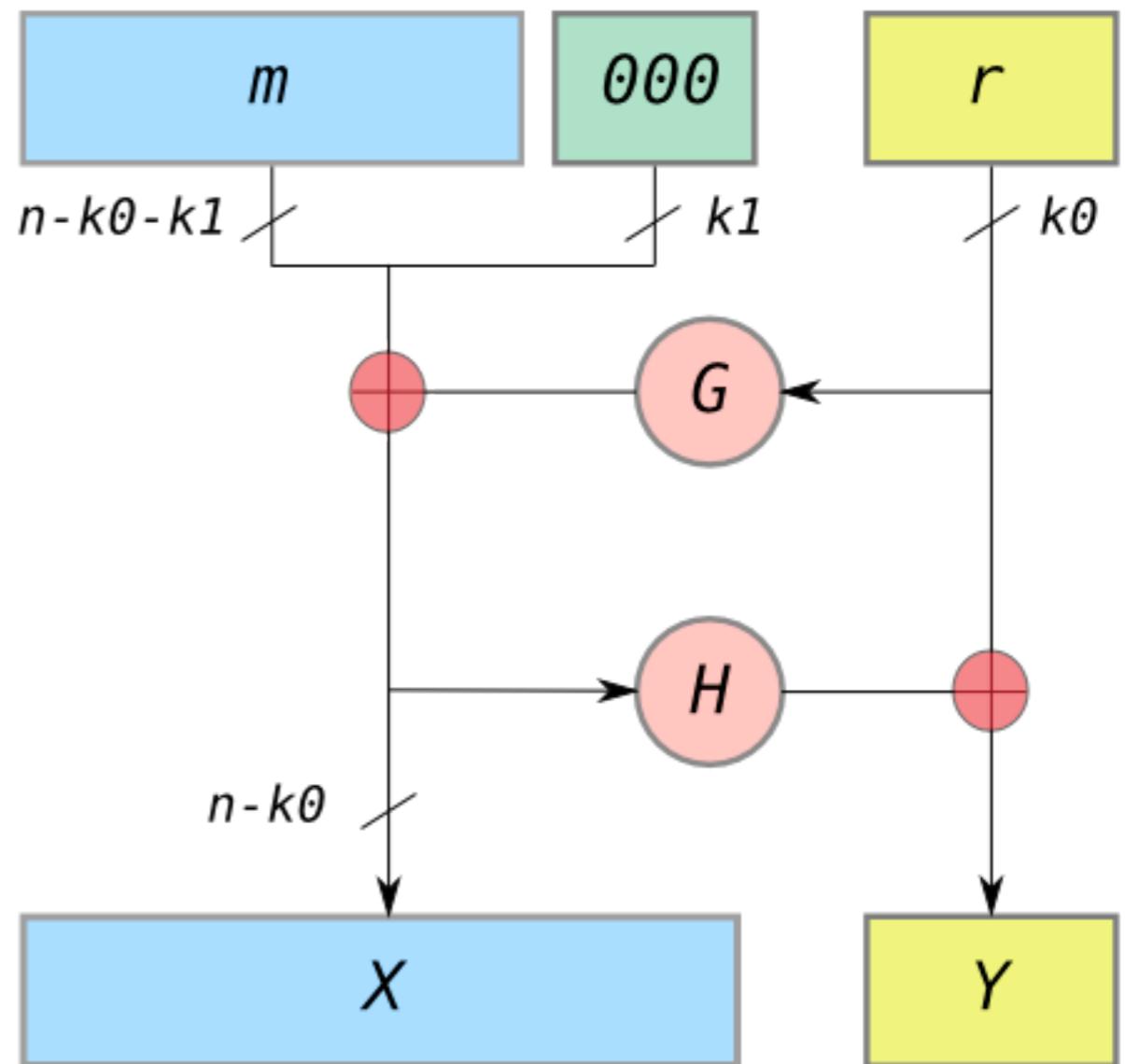
**Optimal Asymmetric Encryption Padding (OAEP) -**  
*Belare and Rogaway (1995)*

$m$  - message (plaintext)

$r$  - random number

$G, H$  - cryptographic hash  
functions

$X, Y$  - the message that gets  
encrypted



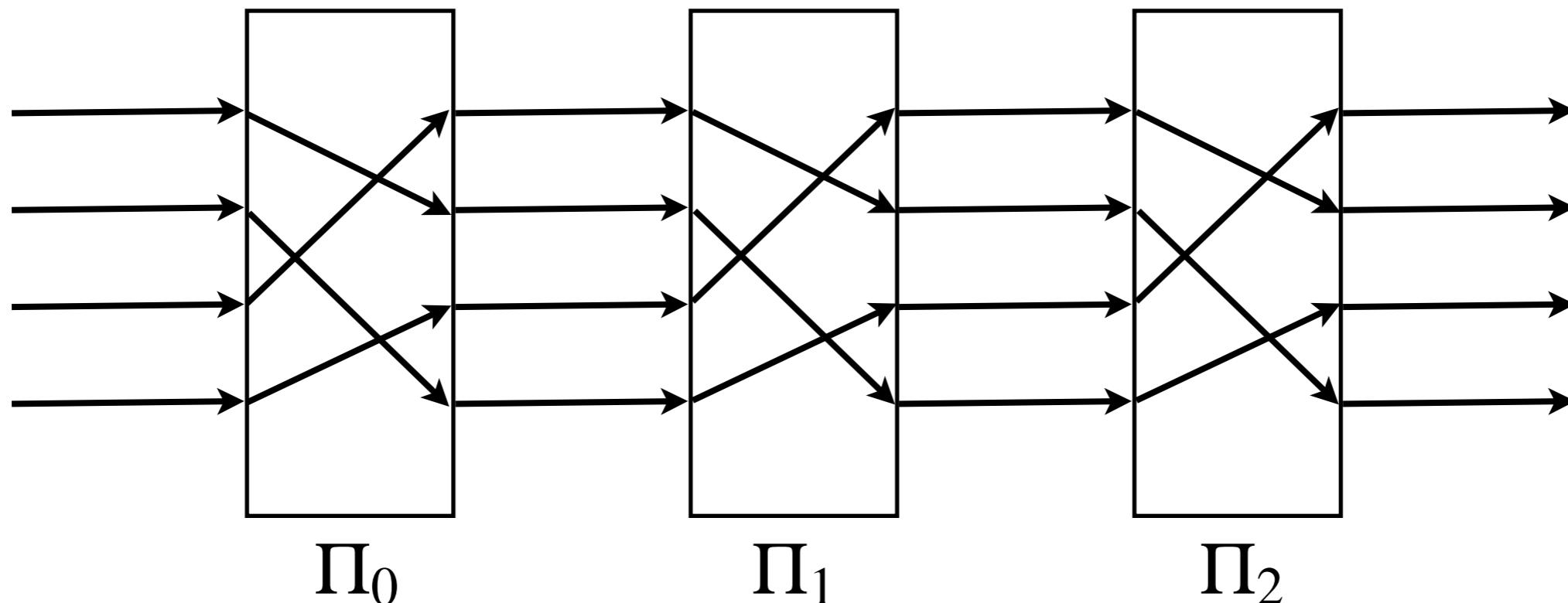
# Cool trick: reencryption

$$E(M) \oplus E(0) = E(M)^*$$

**Anybody can “reencrypt” a message.**  
(New random number introduced from  $E(0)$ .)

# Reencryption mixnets

Permutations  $\Pi_i$ , where output is reencrypted.

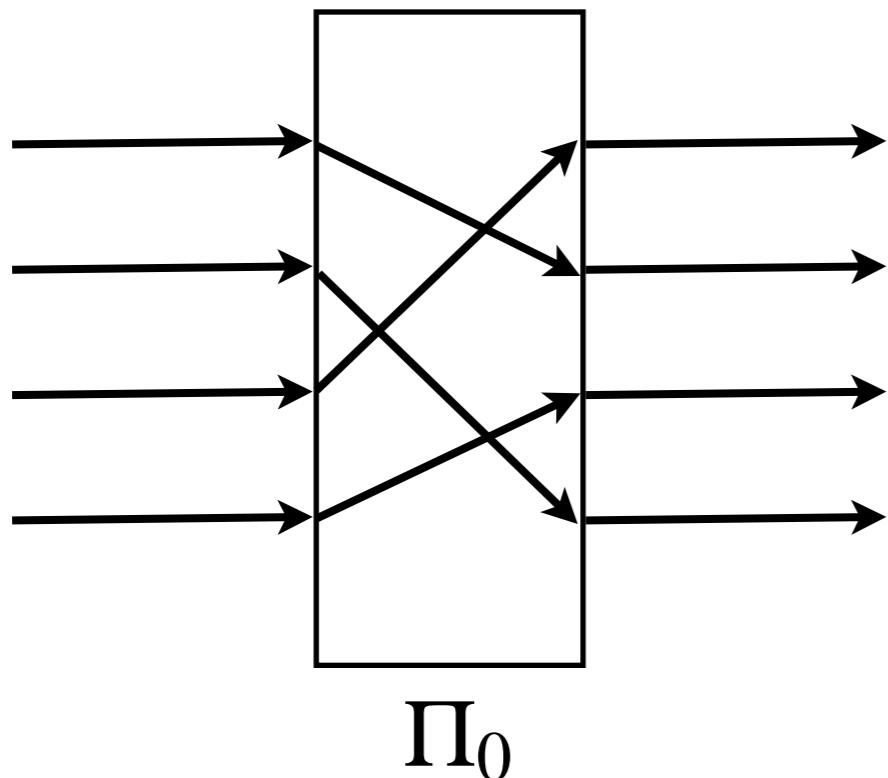


Each mix permutes/reencrypts.

Must prove output corresponds to input.

# Non-solution: reveal the mix

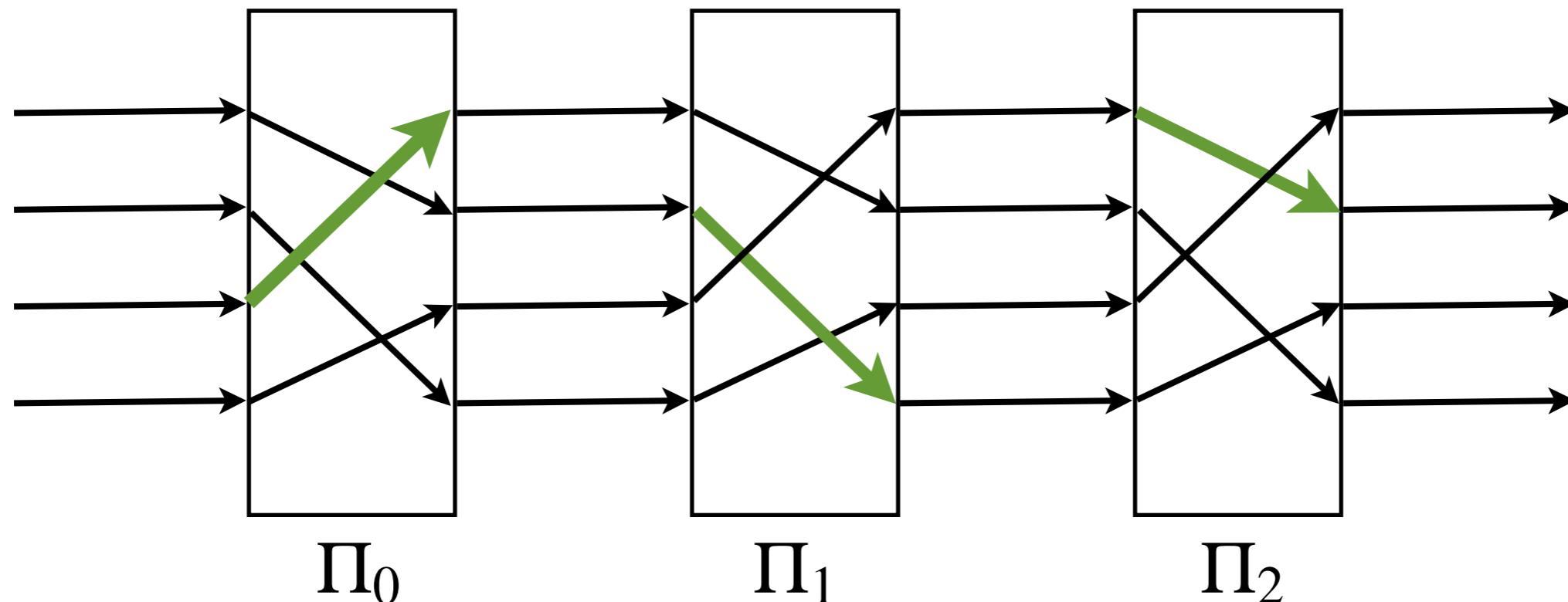
**Publish the random numbers and the permutation.**



**Eliminates benefit of randomization.**

# Randomized partial checking

**Effective across larger mixes.  
(Jakobsson, Jules, Rivest '02)**



Say we're mixing 1 million ballots, each mix reveals 1%. After five mixes, 99.99% chance that all ballots reencrypted at least once.

# Hash functions

**Widely used throughout cryptography.**

**Arbitrary string in.**

**Fixed-length string (e.g., 256 bits) out.**

$$h_a = H(a)$$

$$h_b = H(b)$$

**Usefulness:** if  $h_a \neq h_b$  then  $a \neq b$ .

**Pre-image resistance:** given  $h_a$ , it's infeasible to derive  $a$ .

**Second pre-image resistance:** given  $a$  and  $h_a$ , it's infeasible to derive  $a' \neq a$  such that  $H(a') = H(a)$

# Simple use of hash functions

**Tamper resistant storage:** we'll store  $a$  and give you  $h_a$

**Hash chains:** if new records include the hash of older records, then keep the latest somewhere safe and verify *all* older records.

**Commitments:** in a game like rock-paper-scissors, both parties first send the hash of their move, then reveal the moves later. (But be sure to include a random number!)

# Zero-knowledge proofs (ZKP)

**want to prove you know something**

while revealing nothing

**generalized format**

prover: commit to something (e.g., reencryption mix output)

verifier: *challenge* the prover

prover: respond to the challenge

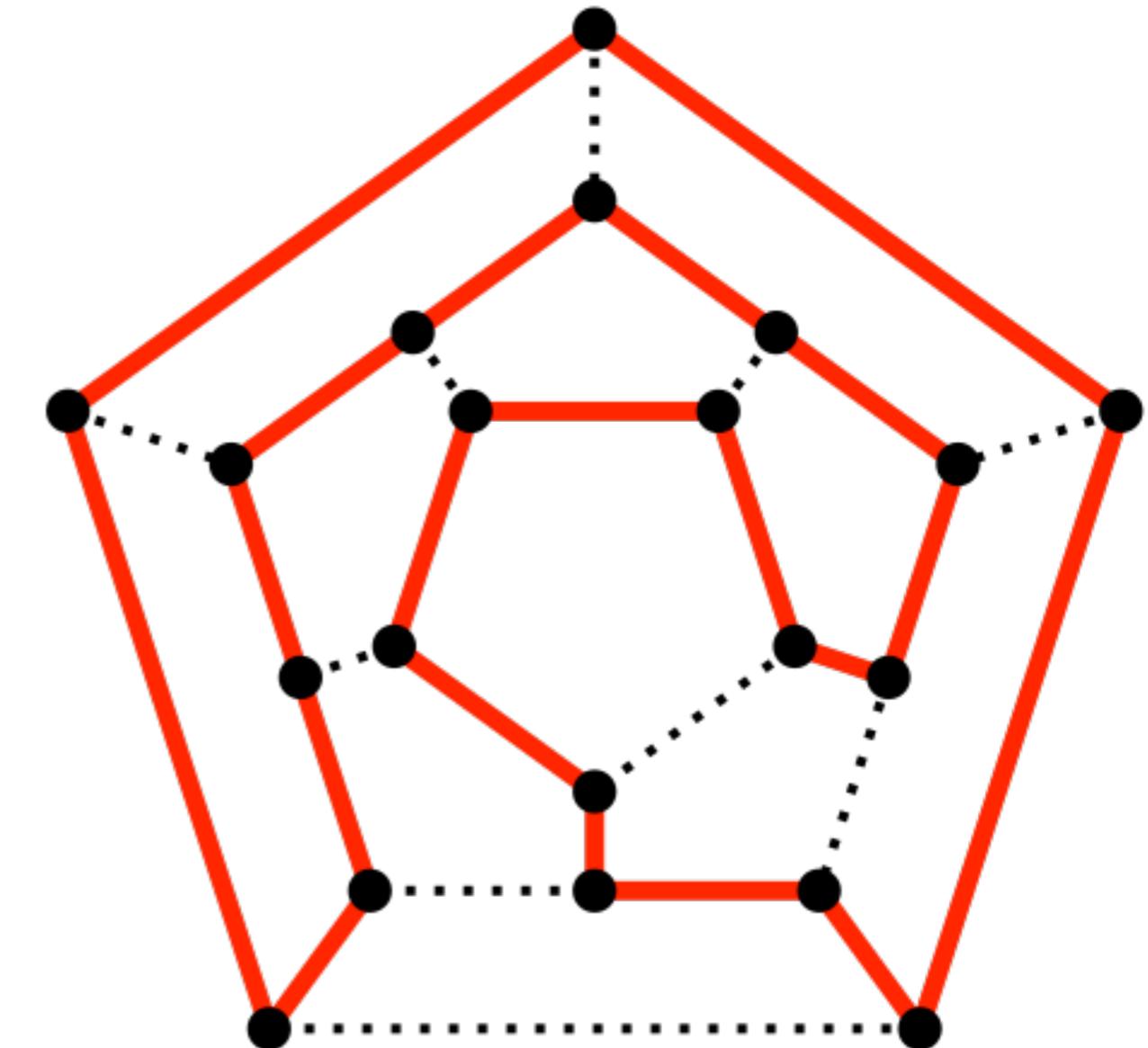
# Example: Hamiltonian paths

**Prover:** “I know a HP over graph  $G$ .” Compute graph isomorphism  $H$ . Publish  $G$ ,  $H$ .

**Verifier:** Coin toss. Heads: tell me HP over  $H$ . Tails: tell me isomorphism  $G$  to  $H$ .

(Repeat  $N$  times.)

If prover doesn’t know HP, verifier catches with high probability.

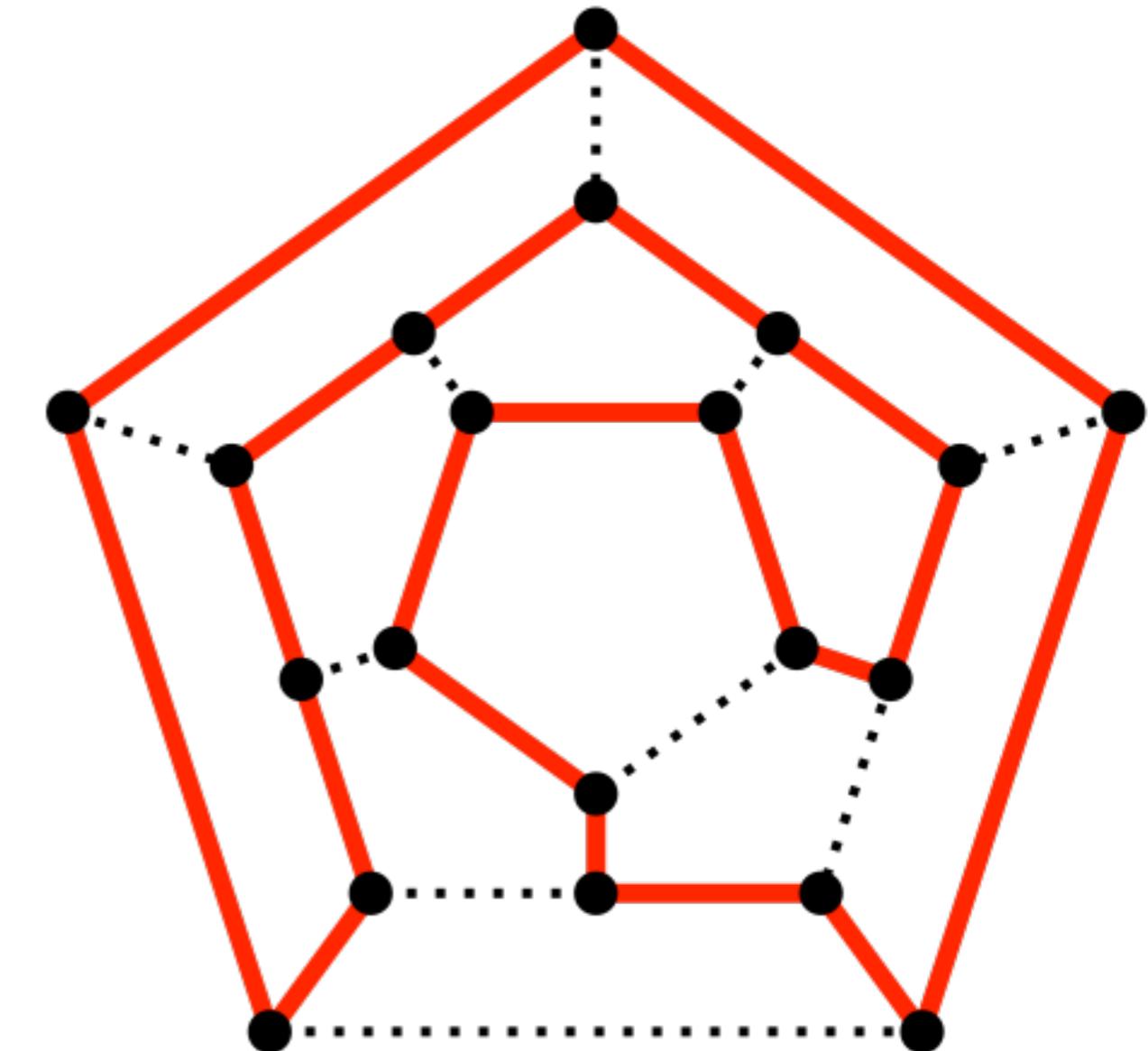


# Non-interactive ZK proofs

**Prover:** Precompute  $N$  isomorphisms ( $H_1$  to  $H_N$ ) and hash them. Hash function yields coin tosses for virtual challenger. Then output the results.

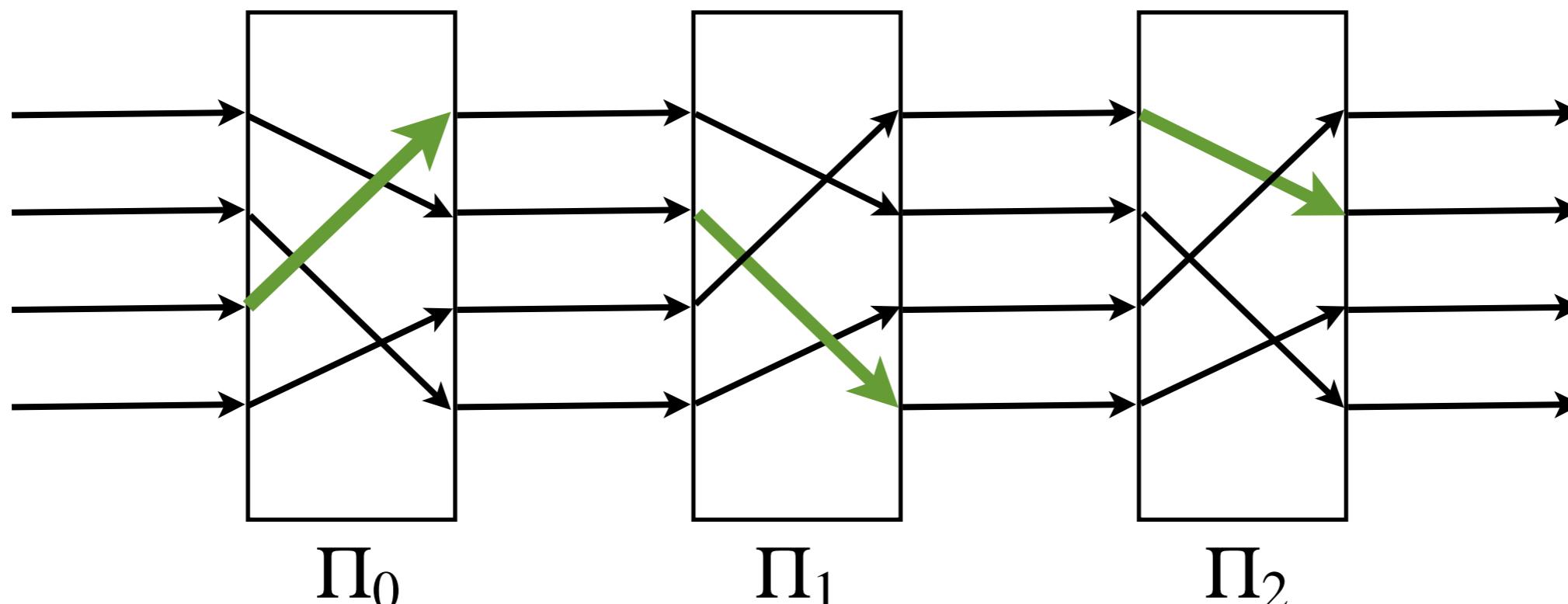
(Assumes good hash functions.)

This is an example of the *Fiat-Shamir heuristic* (1986).



# NIZK variant for mixes

Hash the output of the permutation/reencryption. Use those bits to select which edges get revealed.



Say we're mixing 1 million ballots, each mix reveals 1%. After five mixes, 99.99% chance that all ballots reencrypted at least once.

# Evil machine: E(bignum)?

Must prove ciphertext corresponds to well-formed plaintext. (Example, prove counters are zero or one.)

We need another ZK tool: Chaum-Pedersen proofs.

Prover knows:  $(g, g^x), (h, h^x)$

Wants to prove that these two tuples share  $x$

# Chaum-Pedersen proofs (1992)

*Goal: demonstrate  $(g, g^x), (h, h^x)$*

**P:** choose random  $w \in \mathbb{Z}_p^*$ , compute  $(A = g^w, B = h^w)$

Send  $(A, B)$  to  $V$

**V:** pick a random number  $c$  (challenge), send to  $P$

**P:** compute  $R = w + xc$

send  $R$  to  $V$

**V:** Compute

$$\begin{aligned} A(g^x)^c &= g^w g^{xc} \\ &= g^{w+xc} \\ &= g^R \end{aligned}$$

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$$A(g^x)^c$$

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ZK protocols only work when “live” (or use Fiat-Shamir heuristic for non-interactive)

# C-P for vote testing

Can I prove a vote is zero or one? First, how about proving it's zero using C-P.

Want to verify  $\langle g^r, g^{ar}g^\nu \rangle$  for a specific value of  $\nu$ ?

Do C-P protocol where  $(g, g^x), (h, h^x)$  becomes

$$(g, g^r), \left( g^a, \frac{g^{ar}g^\nu}{g^\nu} \right)$$

We could do this for any value of  $\nu$

Challenge is to do  $\nu = 0$  and  $\nu = 1$  at the same time.

# Cramer-Damgård-Schoenmakers (1996)

Can run two Chaum-Pedersen (or any two ZK proofs like this) simultaneously, one “real” and one “simulated”.

First, fake a proof (e.g., for  $v = 1$ ) in advance.

Then, announce the first message for both protocols.  
Challenger sends  $c$ , prover announced a split  $c_0, c_1$   
where  $c_0 + c_1 = c$ , then executes both ZK protocols.

Verifier cannot tell which one was real vs. simulated, but knows that **one** of them was real.

# Crypto summary

At the end of the day, **any** election observer can now:

- verify every single ballot for being “well-formed”  
(valid Elgamal tuple, encrypted zero-or-one, etc.)
- add together all the ballots (homomorphically)
- verify a proof of the tally (Chaum-Pedersen again)  
(only the election authority can generate this)

But we have no idea if the original ciphertext  
corresponded to the **intent of the voter** (versus evil  
machine flipping votes).

**One newer, useful trick:  
ballot challenge**

# ballot challenge

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a technique due to [Benaloh 2007]

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**at the end, instead of casting your ballot:**

force the machine to **show it to you**

**this happens on election day**

no artificial testing conditions (viz., “L&A tests”)

the voting machine cannot distinguish this from a real  
vote until the challenge

# ballot challenge

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voter makes  
selections

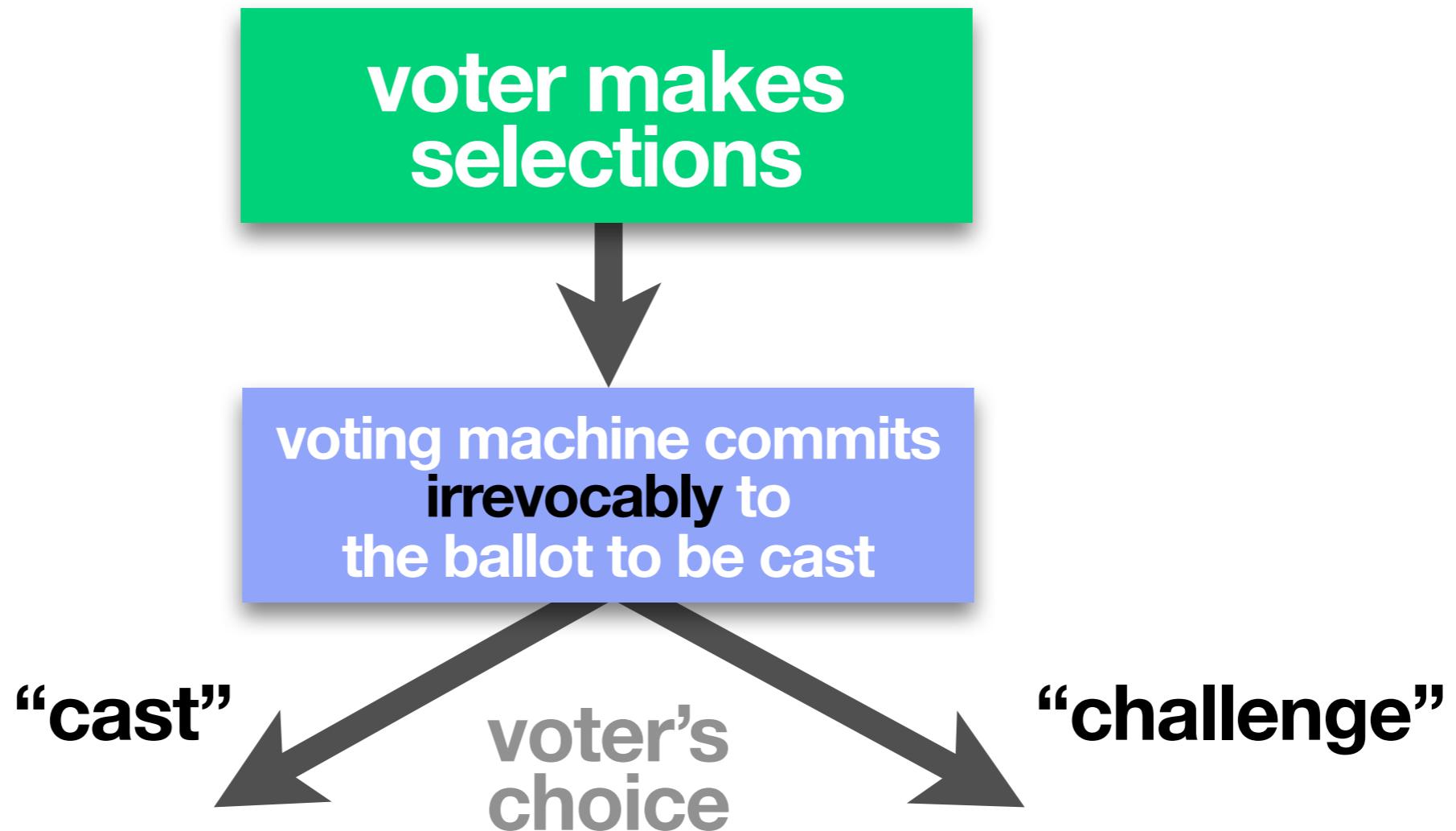
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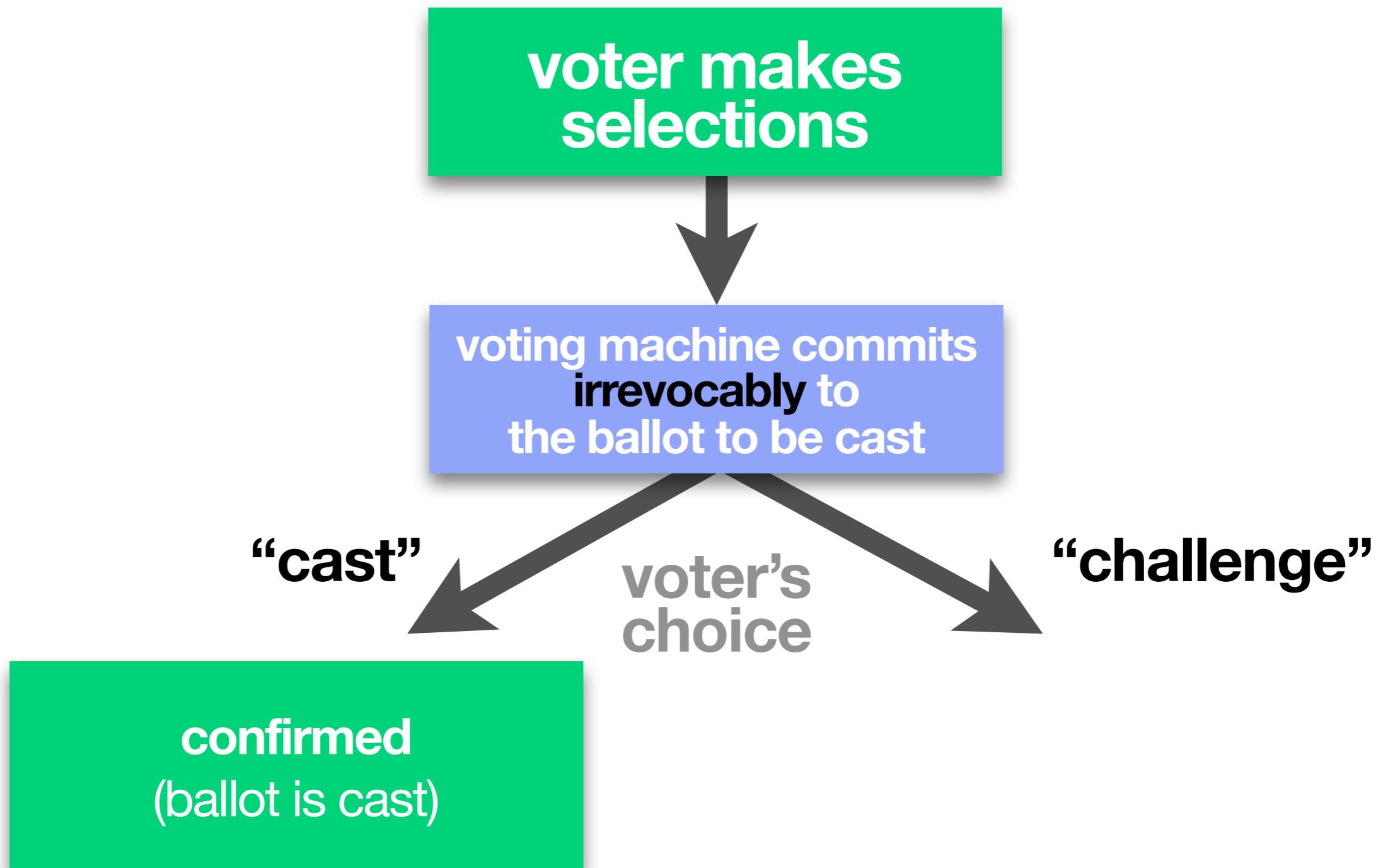


voting machine commits  
**irrevocably to**  
the ballot to be cast

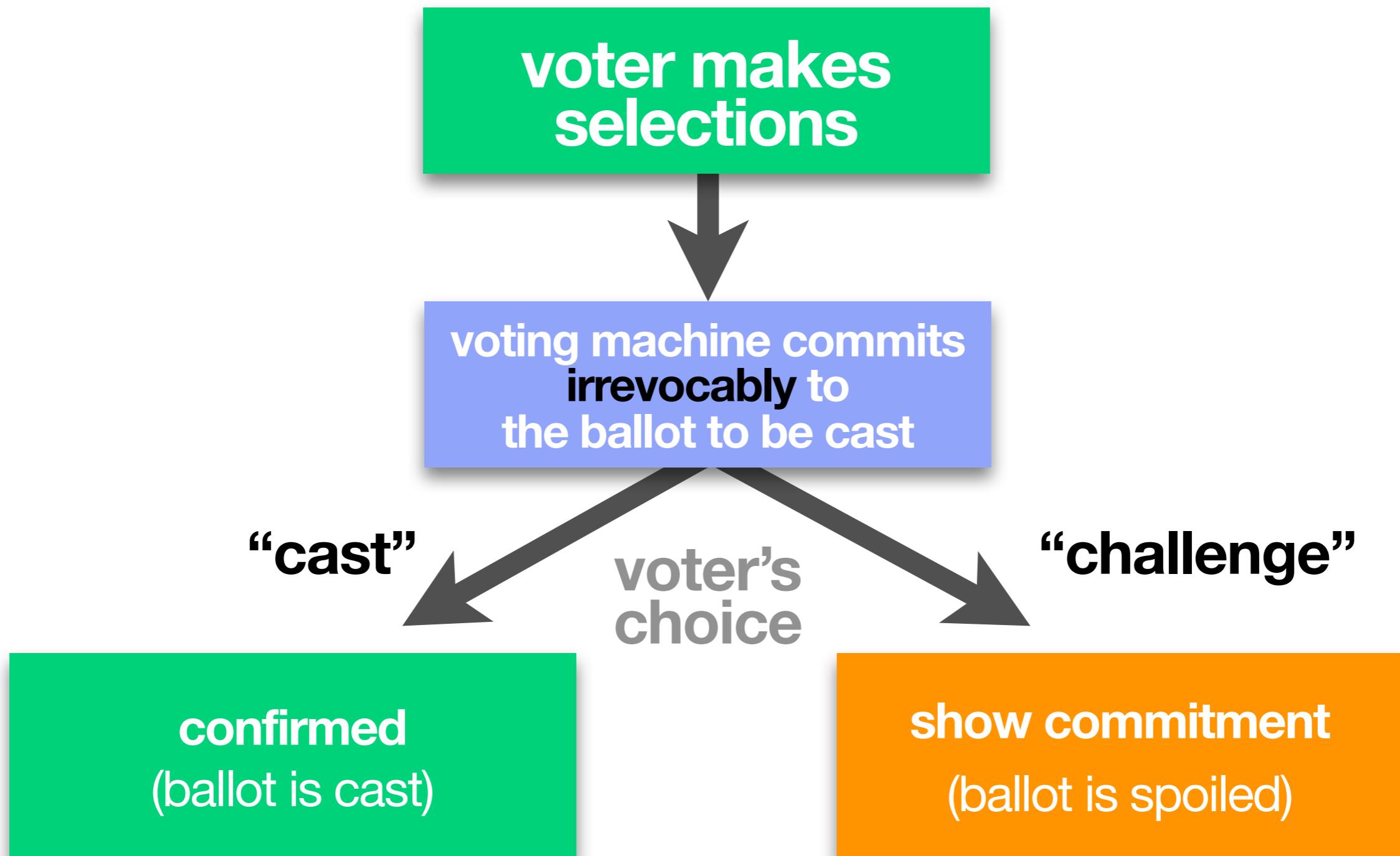
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How do we force the machine to produce proof of what it's about to cast on the voter's behalf?

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## **Benaloh's proposal**

print the encrypted ballot behind an opaque shield

You can't see the contents, but you can see the page

the computer cannot “un-print” the ballot

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## **How do you **test** the commitment?**

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## How do you **test** the commitment?

**Decrypt it.**

But decryption requires the private key for tabulating the whole election!

# Elgamal reminder

Two ways to decrypt:

$$E(g^a, \textcolor{blue}{r}, M) = \langle g^{\textcolor{blue}{r}}, (g^a)^{\textcolor{blue}{r}} M \rangle$$

$$D(g^r, g^{ar}M, \textcolor{red}{a}) = \frac{g^{ar}M}{(g^r)^{\textcolor{red}{a}}}$$

$$D(g^r, g^{ar}M, \textcolor{blue}{r}) = \frac{g^{ar}M}{(g^a)^{\textcolor{blue}{r}}}$$

$g$  group generator

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$\textcolor{blue}{r}$  random (chosen at encryption time)

$\textcolor{red}{a}$  (private) decryption key

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# challenging the machine

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**When challenged, the machine must reveal *r***

We can then decrypt this ballot (only) and see if it's what we expected to see

**In Benaloh, the encrypted ballot is on paper**

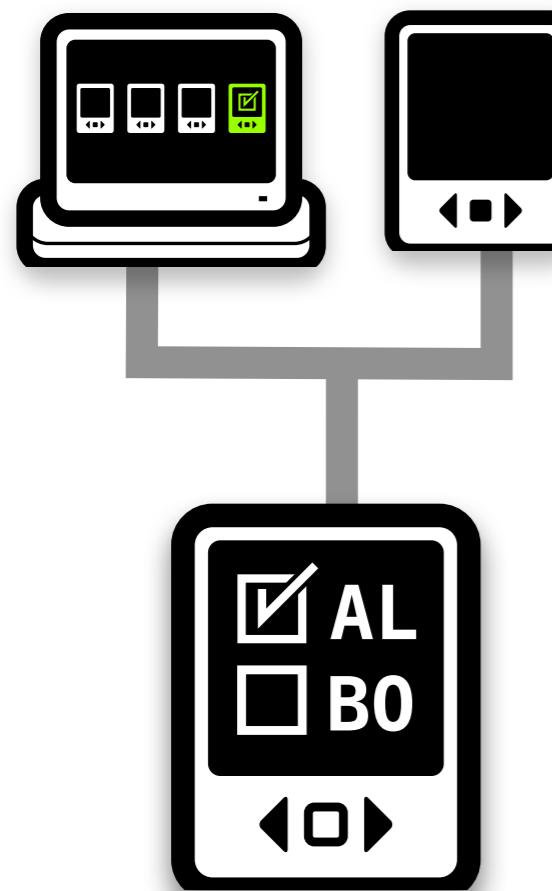
An **irrevocable** output medium

decrypting requires additional equipment

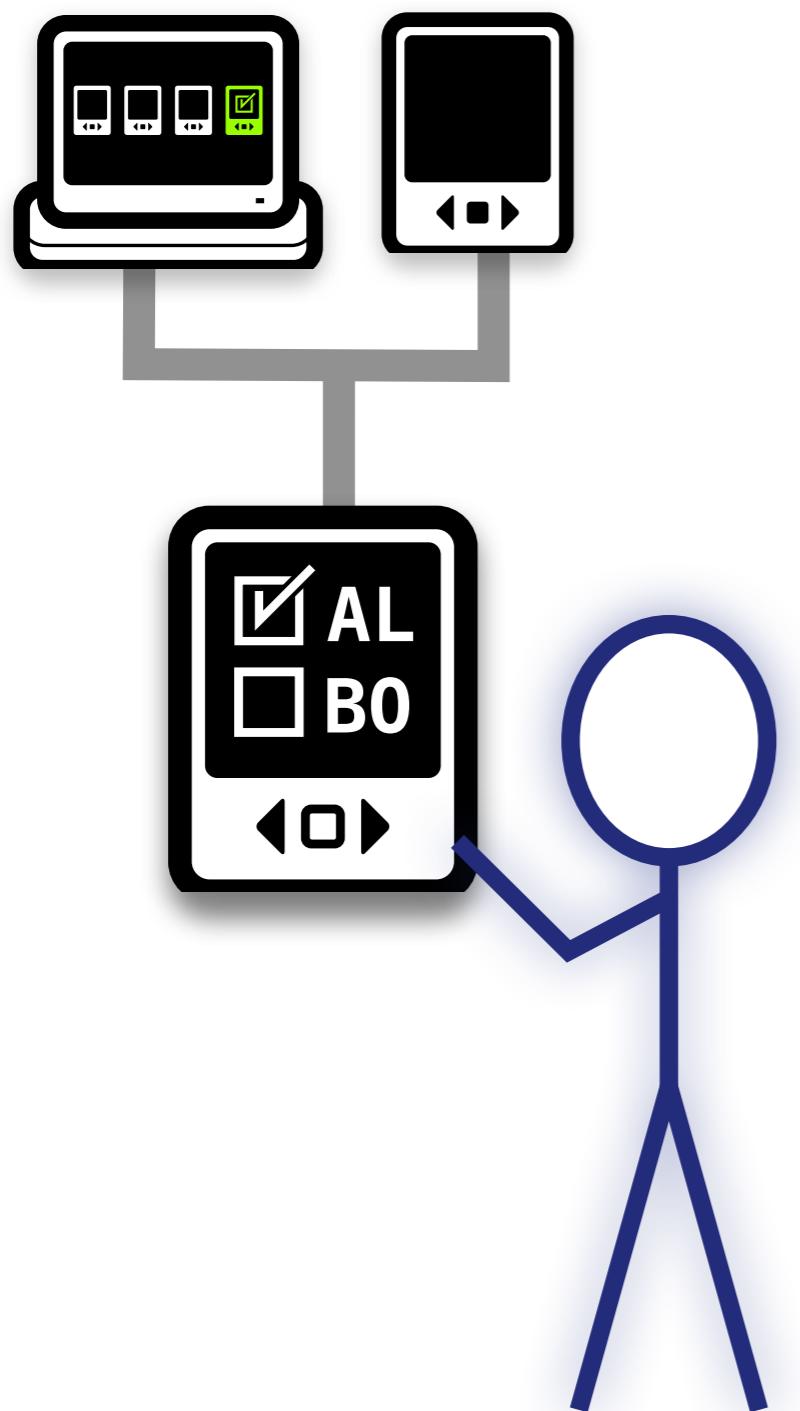
**VoteBox happens to have its own irrevocable publishing system**

(Its in-precinct LAN, where all machines replicate everywhere.)

# polling place

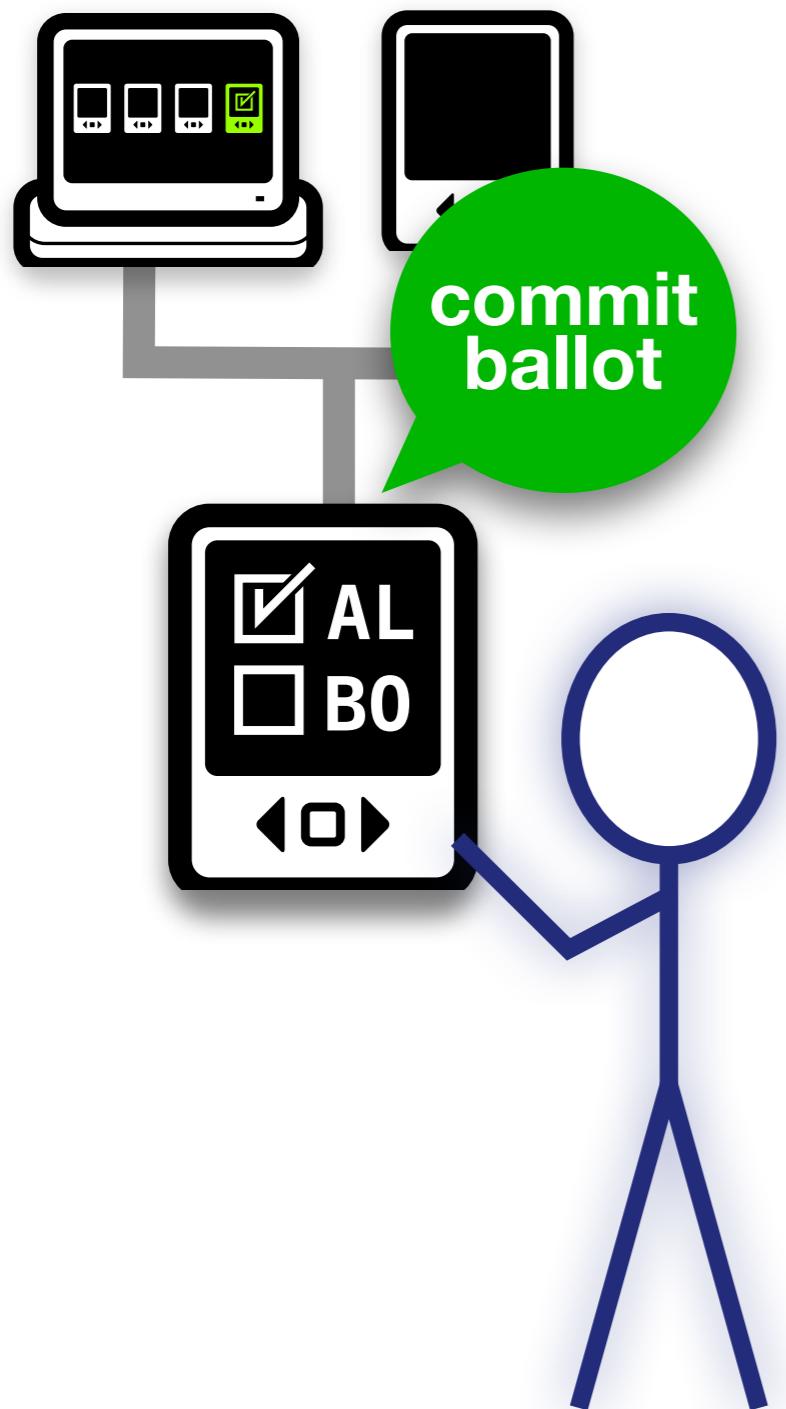


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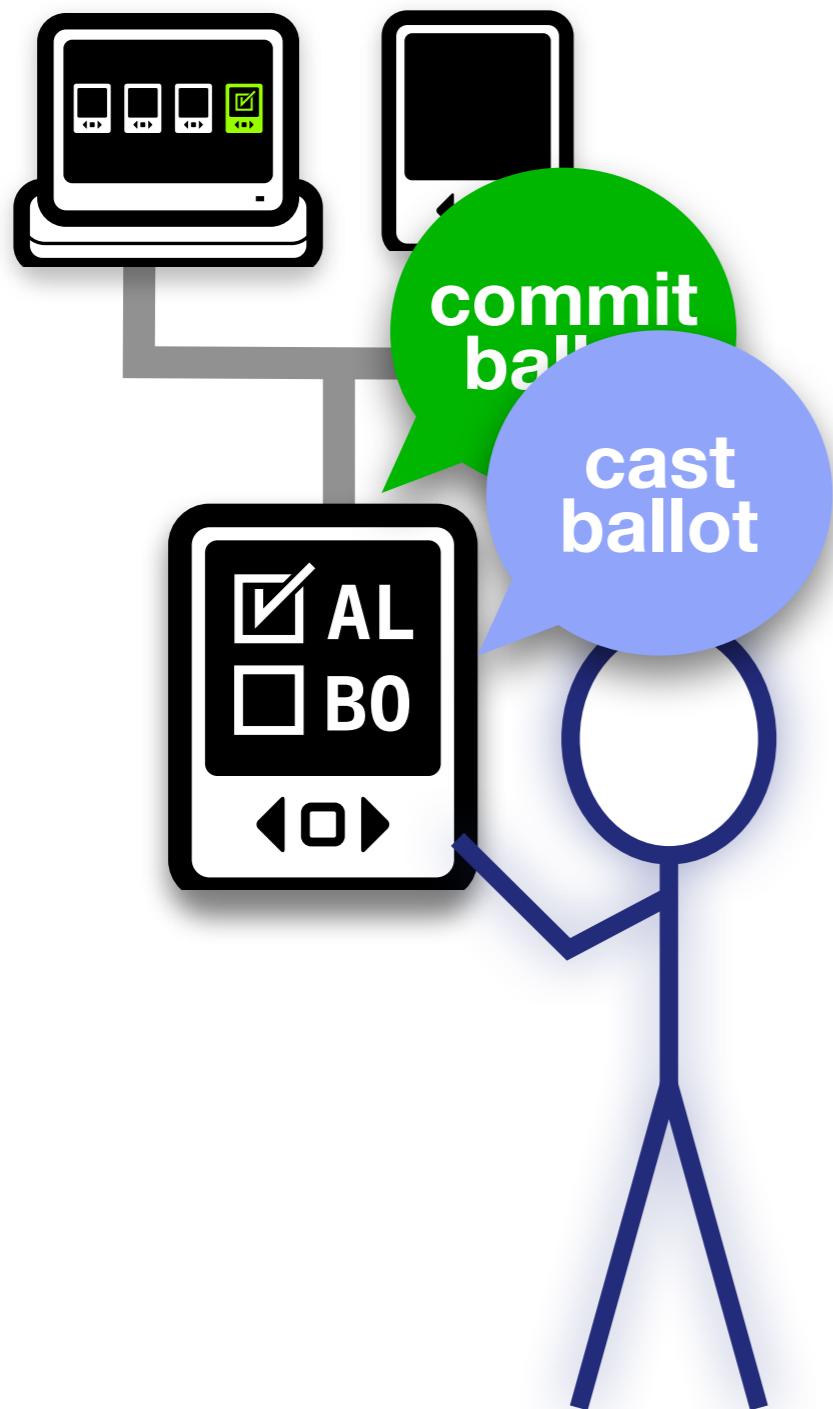
voter

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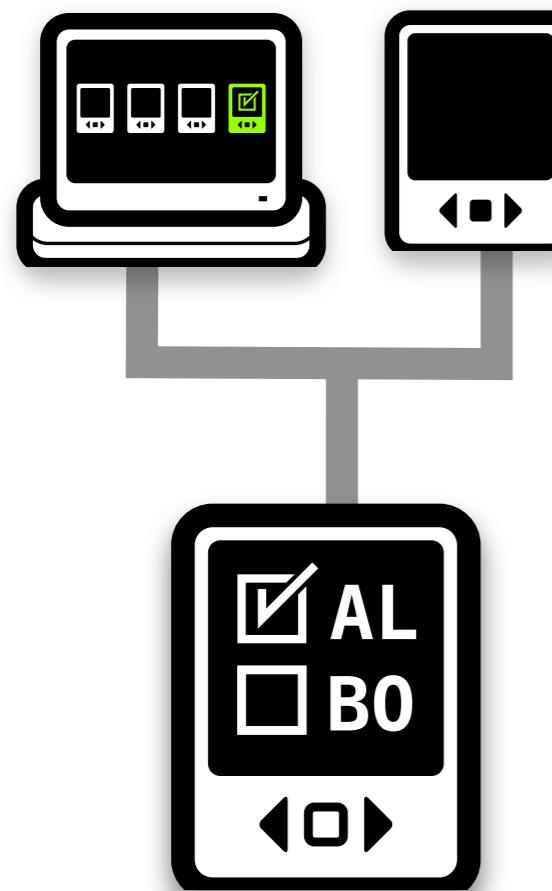
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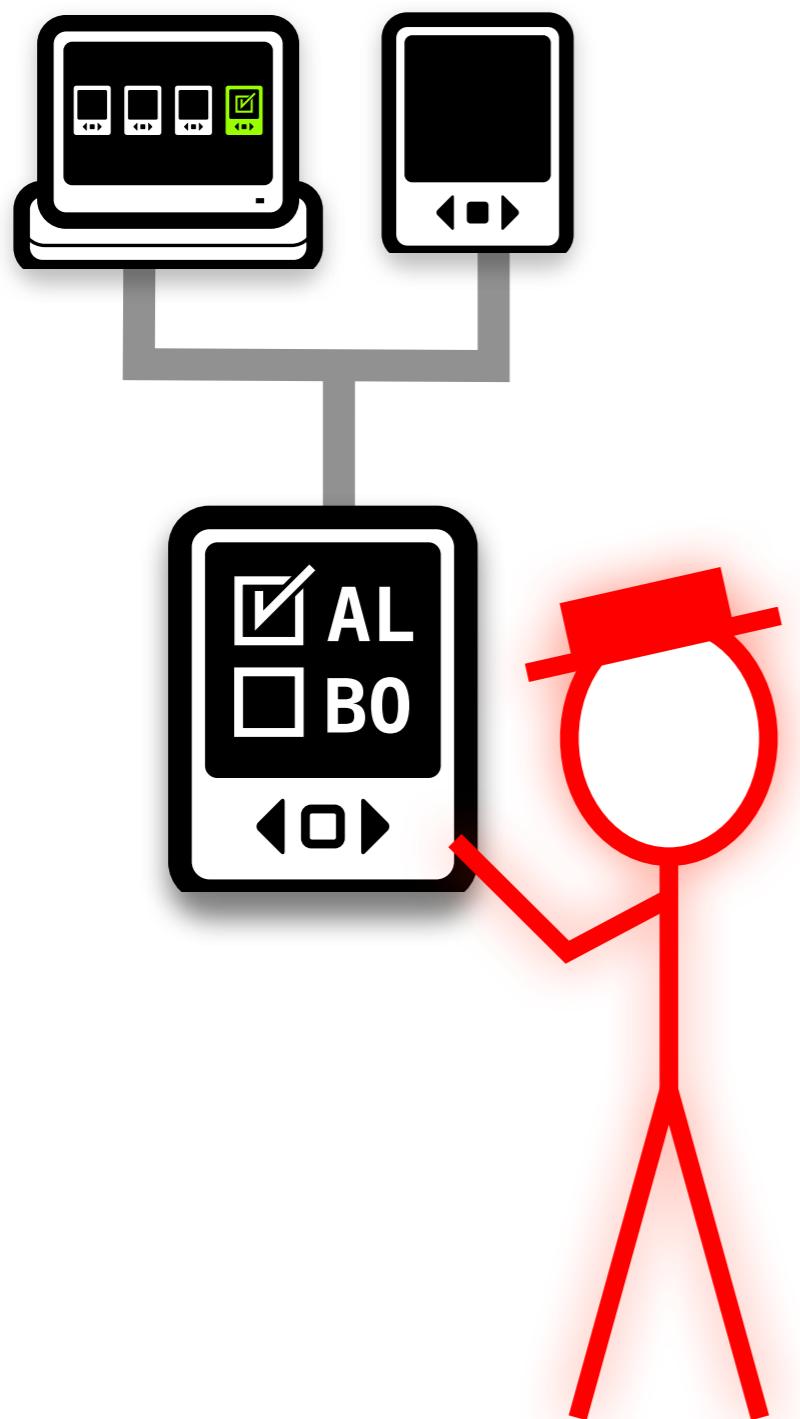


voter

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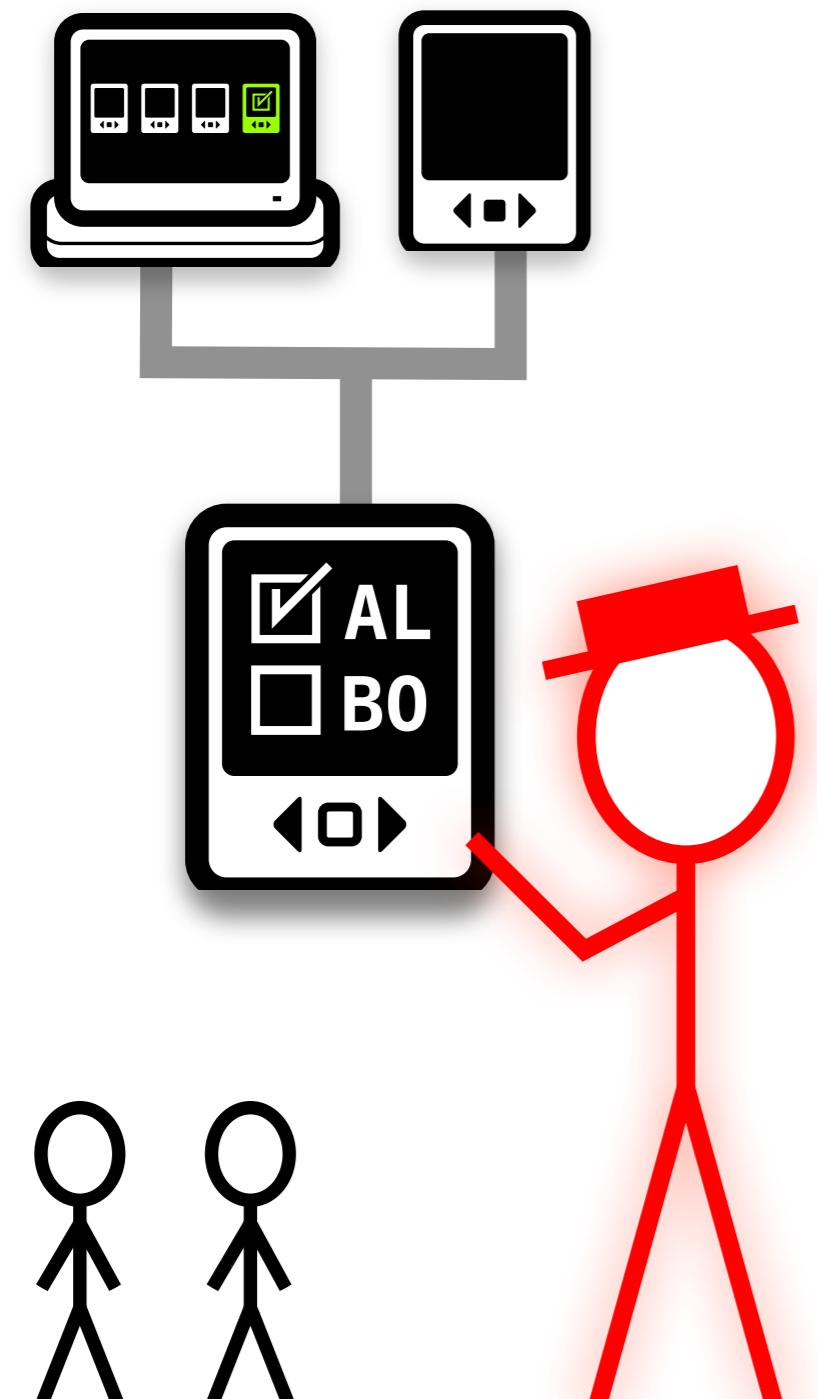


# polling place



challenger

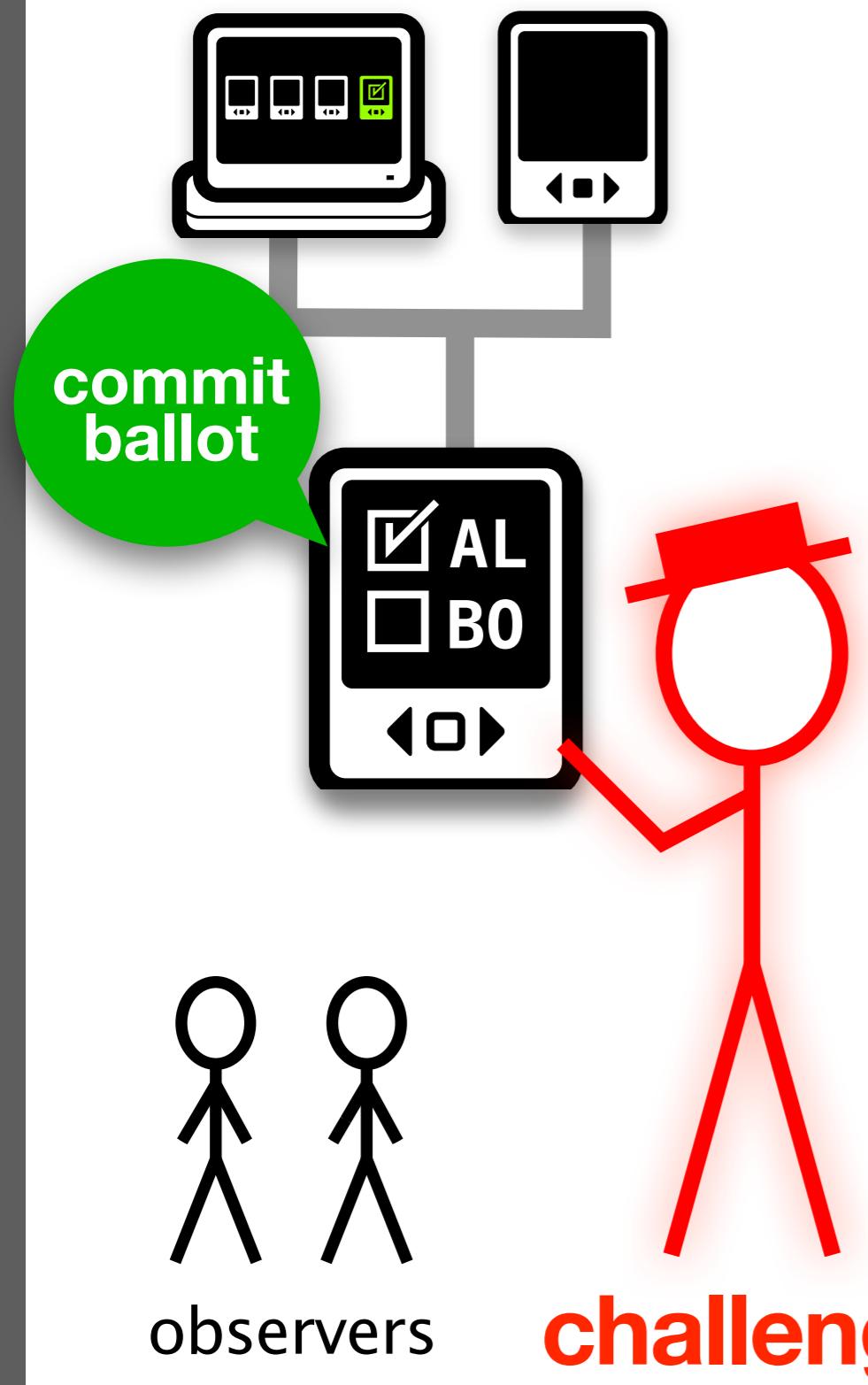
# polling place



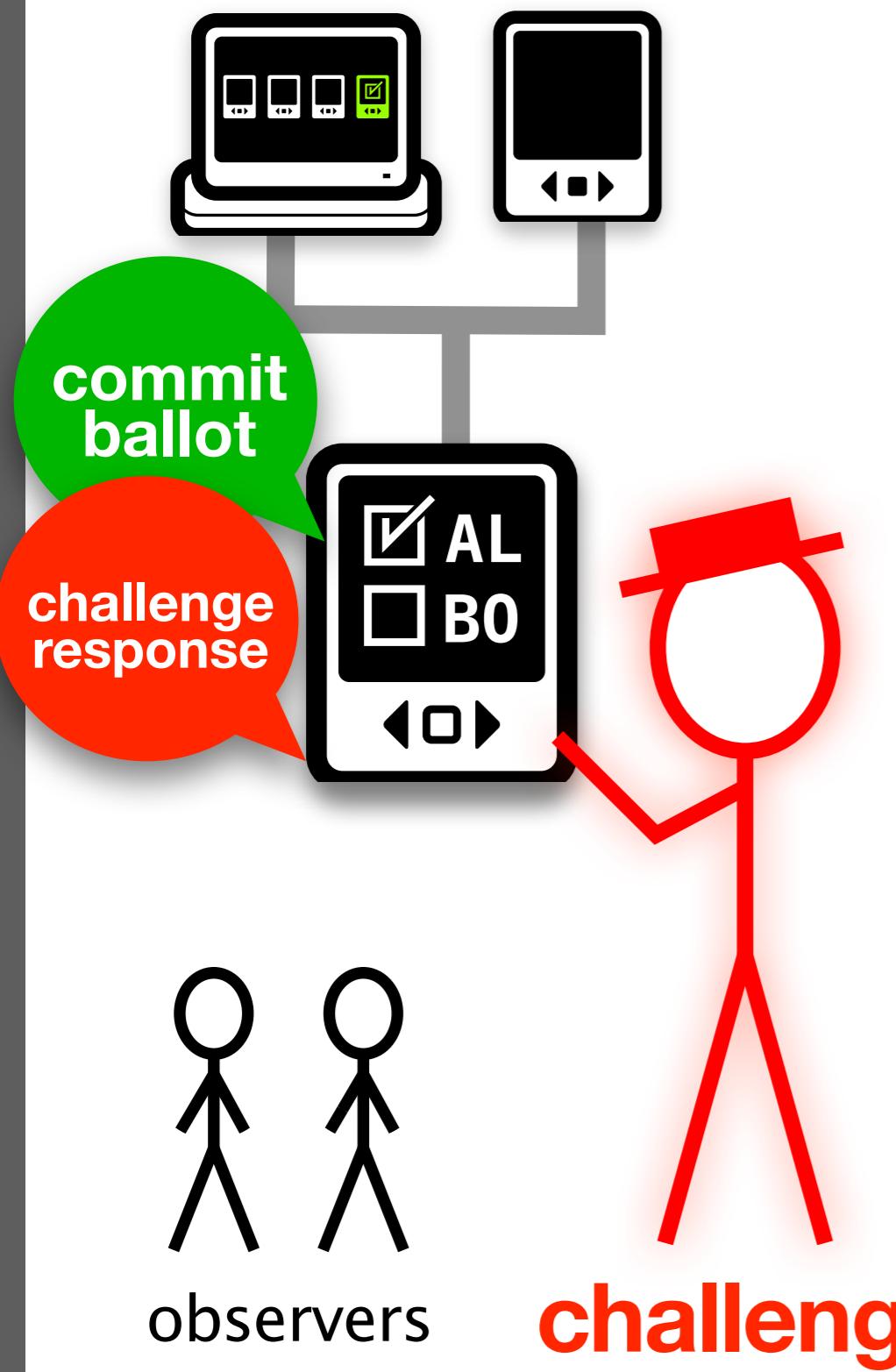
observers

challenger

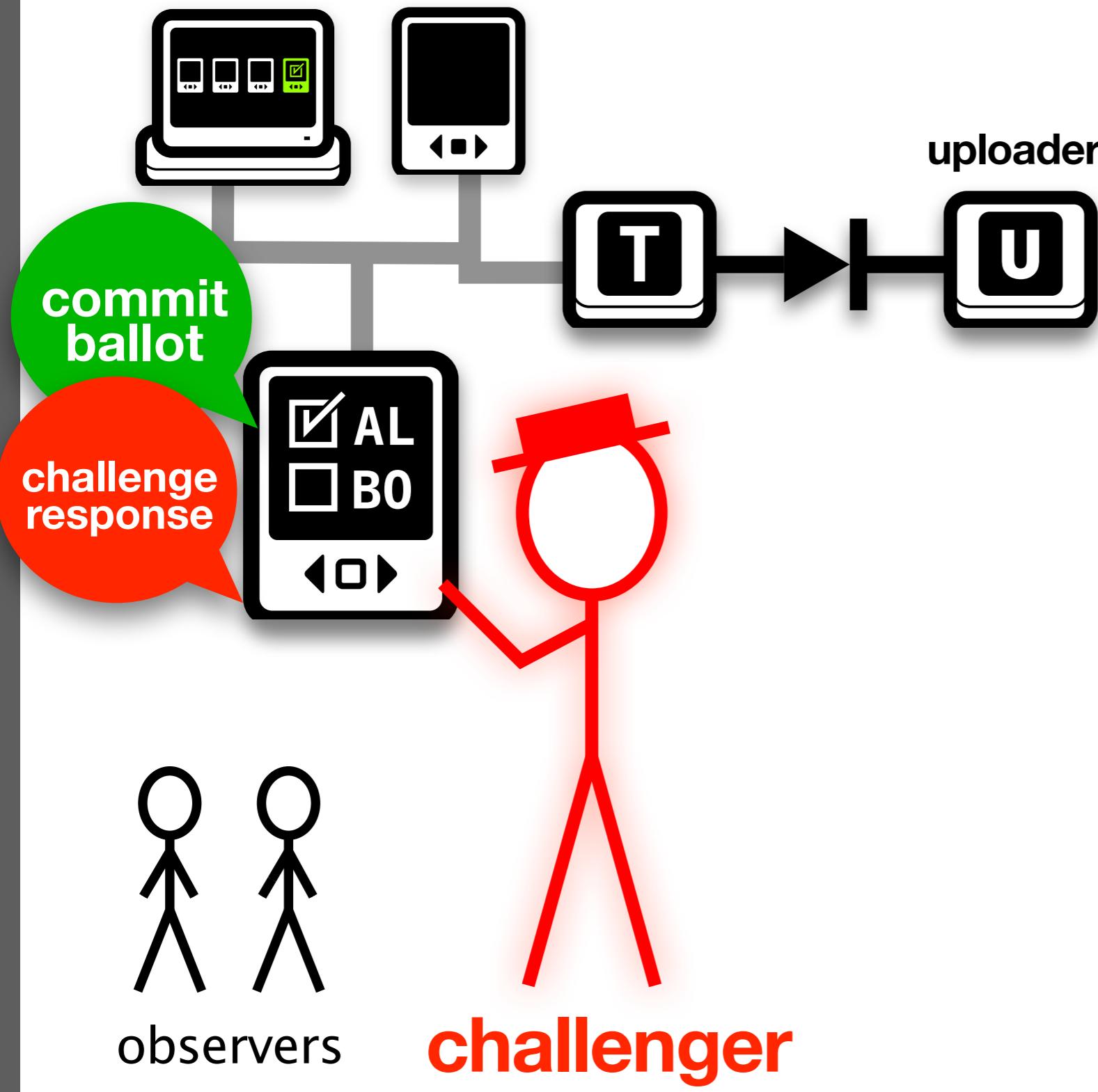
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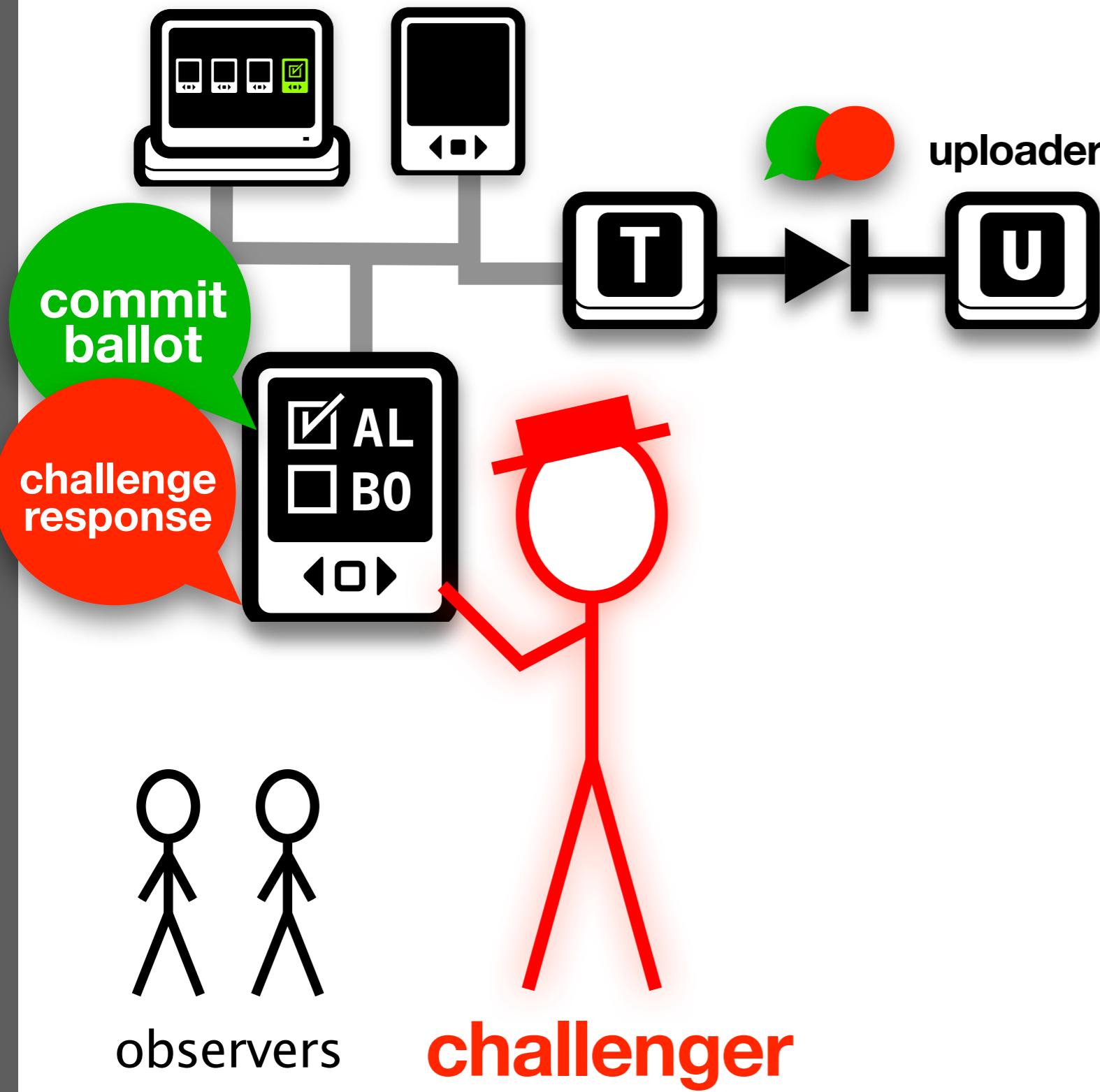
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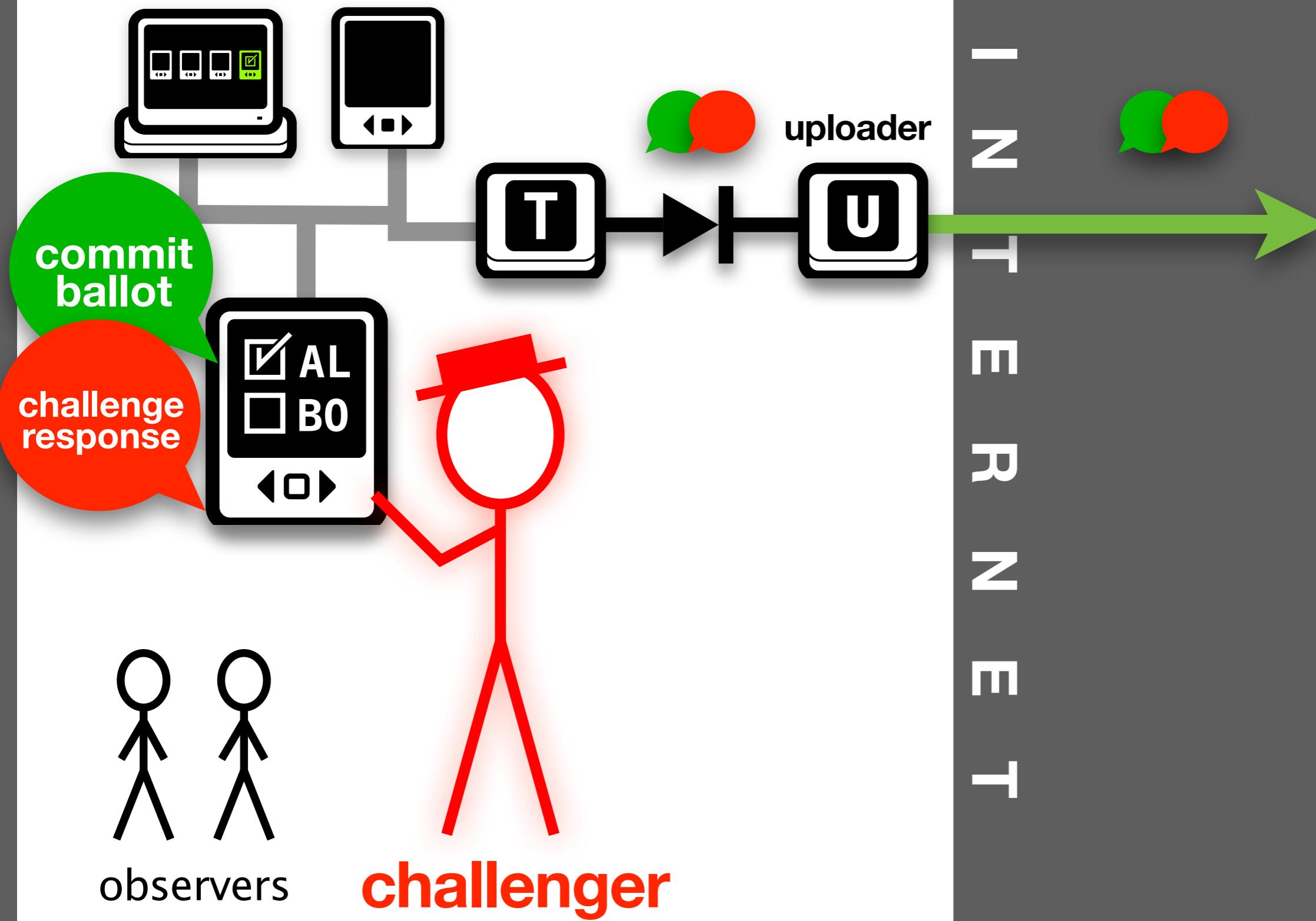
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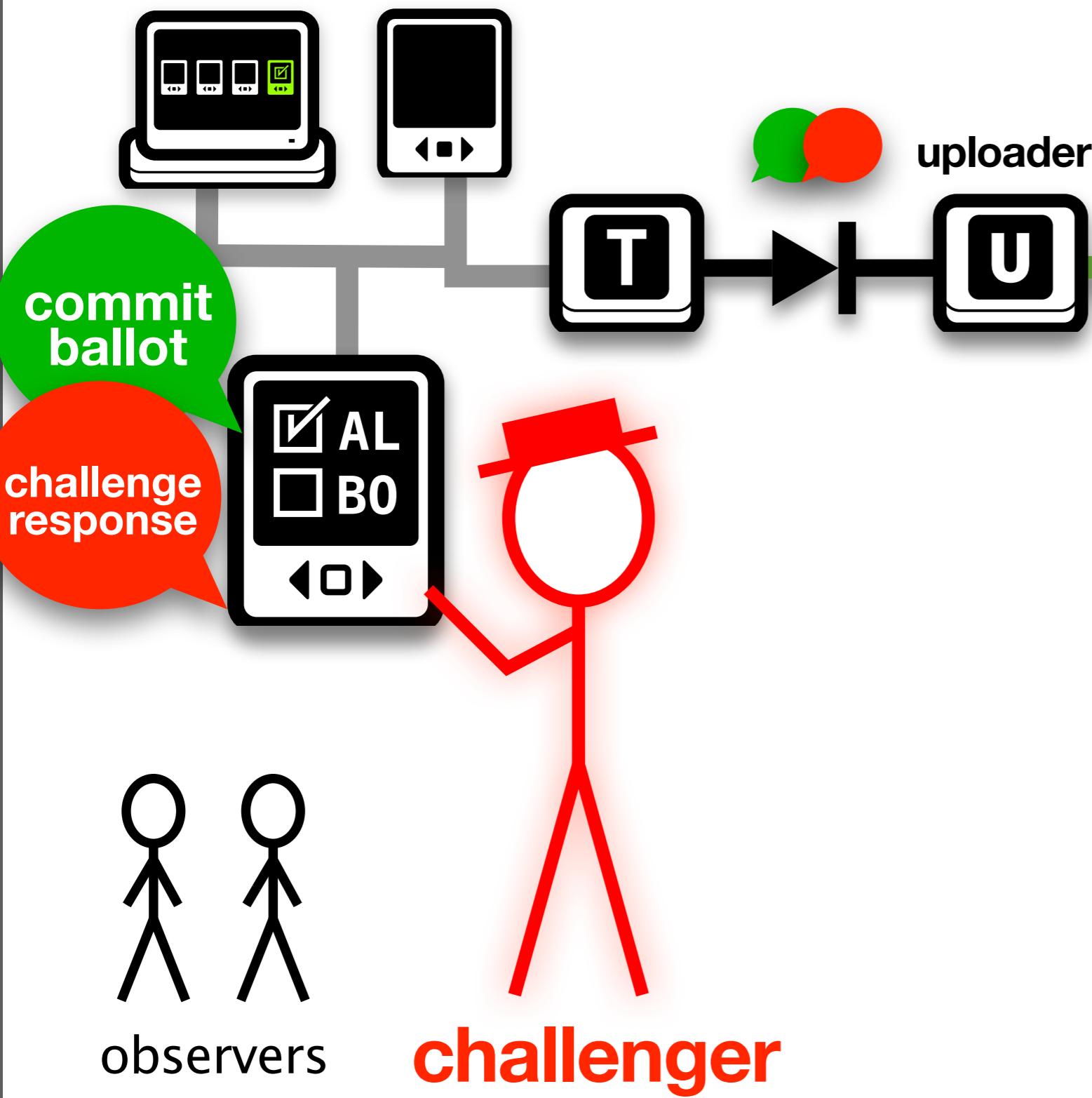
# polling place



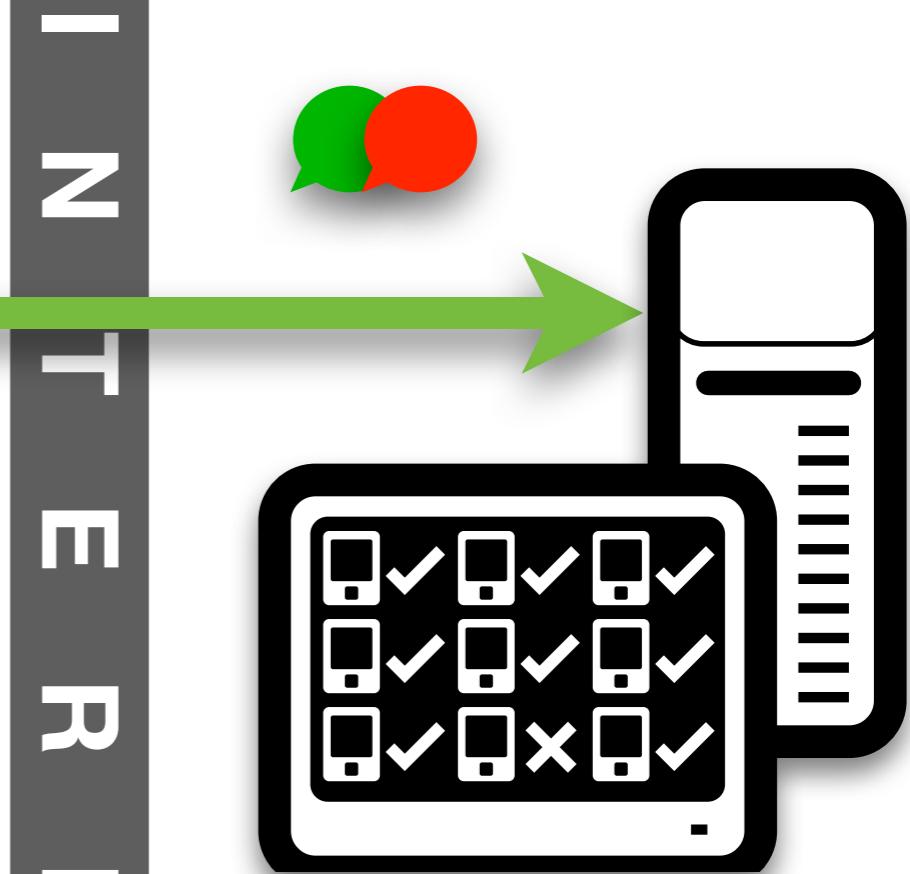
# polling place



# polling place



# challenge center



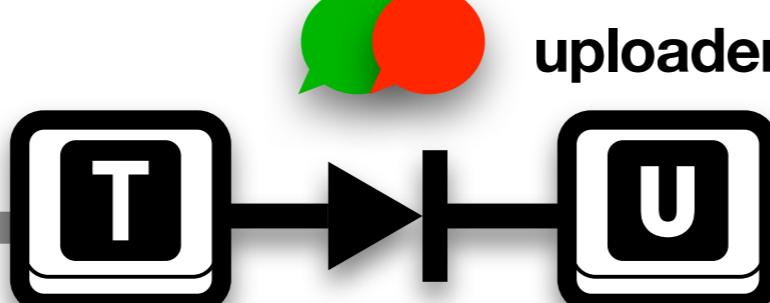
## polling place

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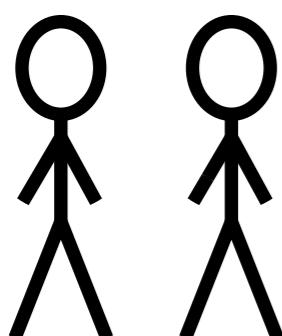


commit  
ballot

challenge  
response



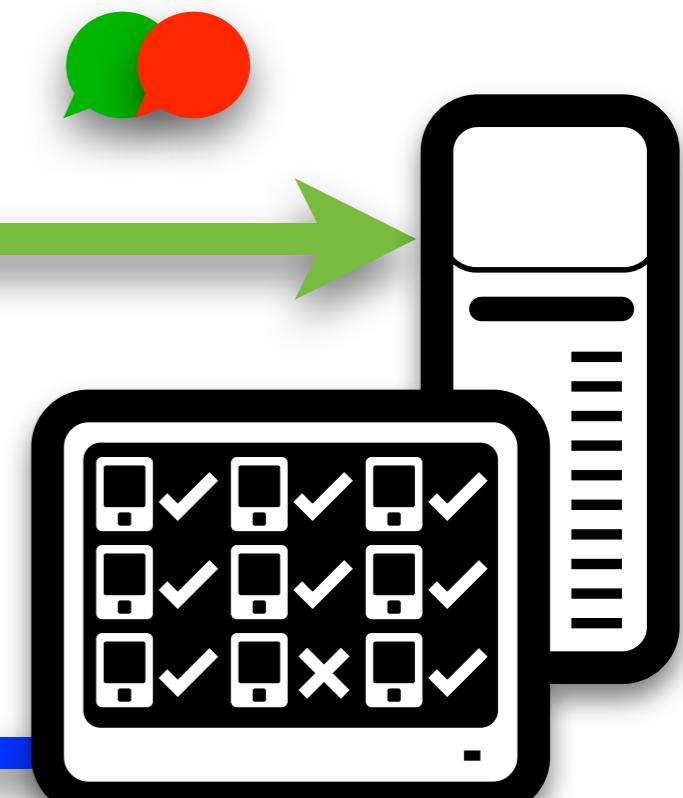
uploader



observers

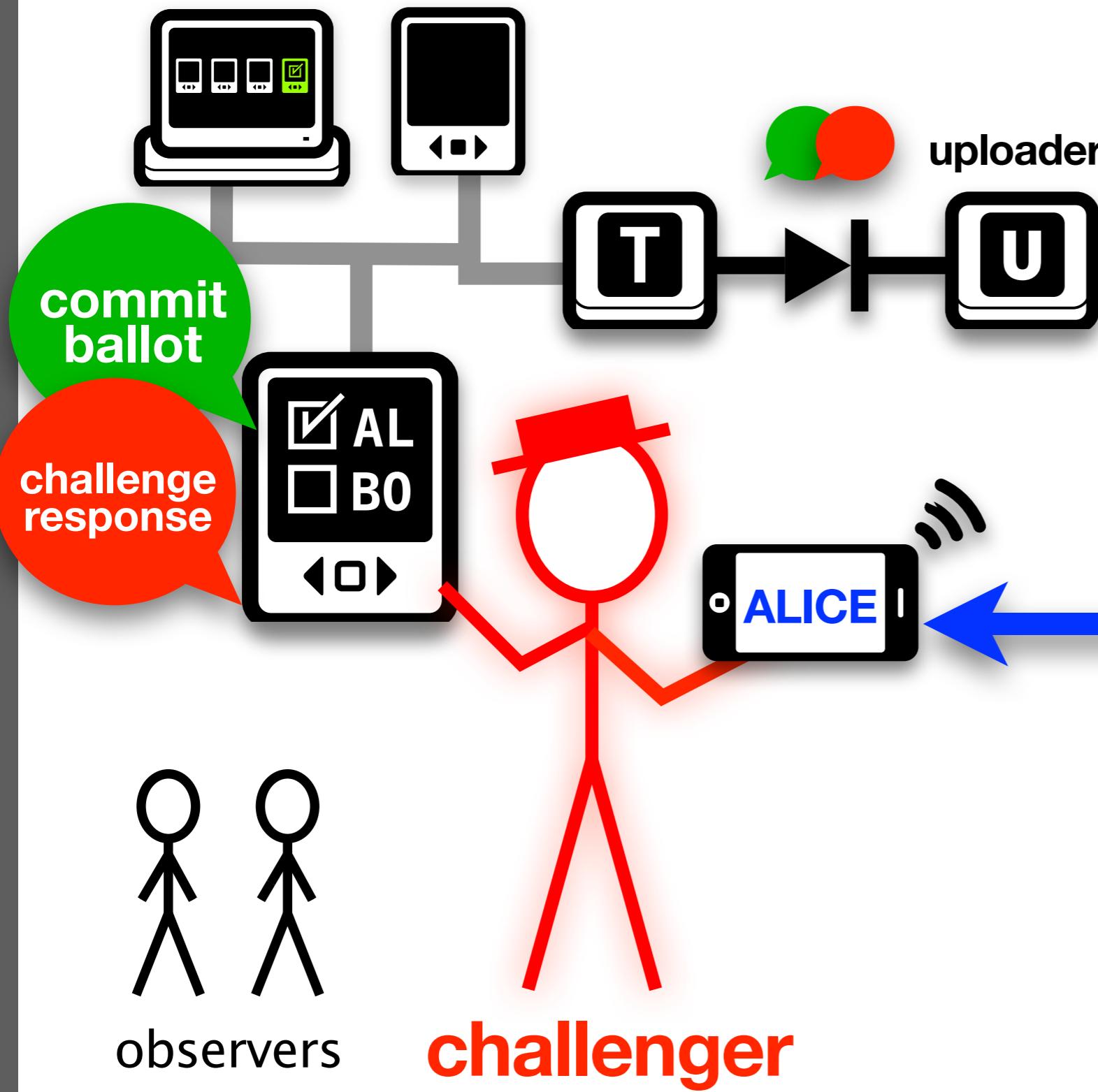
challenger

N  
I  
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T



challenge  
verification  
results

## polling place



## challenge center

